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Customer Number

Patent  
Case No.: 58354US002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ROSENFLANZ, ANATOLY Z.

Application No.: 10/666615

Confirmation No.: 9899

Filed: September 18, 2003

Title: METHODS OF MAKING CERAMICS COMPRISING AL<sub>2</sub>O<sub>3</sub>, REO, ZrO<sub>2</sub>  
AND/OR HfO<sub>2</sub> AND Nb<sub>2</sub>O<sub>5</sub> AND/OR Ta<sub>2</sub>O<sub>5</sub>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENTMail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☒ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

March 8, 2007  
DateJessica S. Nolan  
Signed by: Jessica Nolan

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

03/09/2007 TL0111 00000026 133723 10666615  
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Fees:

- ☒ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.

One copy of this sheet marked duplicate is also enclosed.

- ☐ Any required fee will be paid at the time of EFS-Web submission.

☐ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

Date

March 8, 2007By: 

Gregory D. Allen, Reg. No.: 35,048  
Telephone No.: 651-736-0641

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

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Substitute for form 1449A/PTO (modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	Application Number	10/666615
	Filing Date	September 18, 2003
	First Named Inventor	Rosenflanz, Anatoly Z.
	Confirmation Number	9899
	Attorney Case Number	58354US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5,104,830	04-14-1992	Drouet et al.	
	A2	US- 6,833,014 B2	12-21-2004	Welygan et al.	
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
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		Ctry. Code	Number-KindCode (if known)				
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OTHER DOCUMENTS			
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